

Docket No.: 043888-0518

PATENT

IPJ

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Customer Number: 53080
Masaaki KURANUKI, et al.	:	Confirmation Number: 7824
Application No.: 10/593,281	:	Group Art Unit: 1745
Filed: September 18, 2006	:	Examiner: Not Yet Assigned
For: POWER SYSTEM AND MANAGEMENT METHOD THEREOF	:	

REQUEST FOR CORRECTED FILING RECEIPT


Mail Stop OFR  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Attached is a copy of the Filing Receipt received from the U.S. Patent and Trademark Office in the above-referenced application. It is noted that **the number of claims listed on the official filing receipt is incorrect. Attached is a copy of the Preliminary Amendment cancelling claims 4 and 5, which evidences that the number of claims should now be: 2 independent claims and 16 dependent claims totaling 18 claims.** It is requested that a corrected filing receipt be issued.

Respectfully submitted,

McDERMOTT WILL & EMERY LLP

  
Michael E. Fogarty  
Registration No. 36,139

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
Phone: 202.756.8000 MEF:ksa  
Facsimile: 202.756.8087  
**Date: October 1, 2007**

**Please recognize our Customer No. 53080  
as our correspondence address.**



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/593,281	09/18/2006	1745	900	043888-0518	20	2

53080  
 MCDERMOTT WILL & EMERY LLP  
 600 13TH STREET, NW  
 WASHINGTON, DC 20005-3096

**RECEIVED**  
 MAY 21 2007

McDermott Will & Emery LLP  
 DC Office

## FILING RECEIPT



\*OC000000023951719\*

CONFIRMATION NO. 7824

Date Mailed: 05/18/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Masaaki Kuranuki, Kyoto, JAPAN;  
 Yuu Inatomi, Osaka, JAPAN;

**Power of Attorney:** The patent practitioners associated with Customer Number 53080.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/04442 03/14/2005

## Foreign Applications

JAPAN 2004-078891 03/18/2004

If Required, Foreign Filing License Granted: 05/18/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/593,281**

Projected Publication Date: 08/30/2007

Non-Publication Request: No

Early Publication Request: No

**Title**

Power System And Management Method Thereof

**Preliminary Class**

429

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof

unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## **IN THE CLAIMS:**

*This listing of claims will replace all prior versions and listings of claims in the application*

### **Listing of Claims:**

1. (Original) A power system comprising: an electrochemical device; a load device; a power generator; and a charge/discharge controller of said electrochemical device,  
said electrochemical device comprising a positive electrode, a negative electrode, and a liquid electrolyte or a solid electrolyte,  
said electrochemical device having a charge/discharge curve that has at least one step, a given step of said at least one step having an inflection point, a voltage corresponding to the inflection point or a point adjacent to the inflection point being set as a threshold value, and  
said charge/discharge controller being configured to control charge/discharge of said electrochemical device such that the voltage of said electrochemical device approaches said threshold value.
2. (Original) The power system in accordance with claim 1, further comprising: a comparator that compares the voltage of said electrochemical device with said threshold value,  
wherein based on an output of said comparator, said charge/discharge controller causes said electrochemical device to be charged when the voltage is lower than said threshold value and causes said electrochemical device to be discharged when the voltage is higher than said threshold value.
3. (Original) The power system in accordance with claim 1, further comprising: a comparator that compares the voltage of said electrochemical device with said threshold value; and a remaining capacity detector that calculates a remaining capacity of said electrochemical device based on an output of said comparator,  
wherein based on an output of said remaining capacity detector, said charge/discharge controller charges said electrochemical device when the remaining capacity is less than the remaining capacity corresponding to said threshold value and discharges said electrochemical device when the remaining capacity is greater than the remaining capacity corresponding to said threshold value.

*Claims 4-5 (Cancelled)*

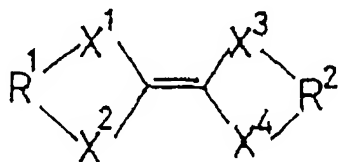
6. (Original) The power system in accordance with claim 1, wherein said charge/discharge controller controls charge/discharge of said electrochemical device such that at least a part of a difference between an amount of power generated by said power generator and an amount of power consumed by said load device is supplied or stored.

7. (Original) The power system in accordance with claim 6, wherein said charge/discharge controller further comprises a power difference determining unit that determines a difference between an amount of power generated by said power generator per unit time and an amount of power consumed by said load device per unit time, and based on an output of said power difference determining unit, said charge/discharge controller controls charge/discharge of said electrochemical device while controlling the amount of power generated by said power generator per unit time.

8. (Original) The power system in accordance with claim 1, wherein said electrochemical device is charged by utilizing regenerative energy from said load device.

9. (Original) The power system in accordance with claim 1, wherein at least one selected from said positive electrode and said negative electrode comprises a compound having a structure represented by the general formula (1):

[Chemical formula 1]



where each of R<sup>1</sup> and R<sup>2</sup> is independently a chain or cyclic aliphatic group, R<sup>1</sup> and R<sup>2</sup> may be the same or different, each of X<sup>1</sup> to X<sup>4</sup> is independently a sulfur atom, an oxygen atom or a tellurium atom, X<sup>1</sup> to X<sup>4</sup> may be the same or different, and said aliphatic group may contain one or more selected from the group consisting of an oxygen atom, a nitrogen atom, a sulfur atom, a silicon atom, a phosphorus atom and a boron atom.

10. (Original) The power system in accordance with claim 2, further comprising: a parameter detector that detects at least one parameter selected from the group consisting of charge/discharge current, temperature and internal impedance of said electrochemical device; and a field adjustor that adjusts the relation between the voltage of said electrochemical device and said threshold value based on the detected parameter.

11. (Original) The power system in accordance with claim 3, further comprising: a parameter detector that detects at least one parameter selected from the group consisting of charge/discharge current, temperature and internal impedance of said electrochemical device; and a field adjustor that adjusts the relation between the voltage or remaining capacity of said electrochemical device and said threshold value based on the detected parameter.

12. (Original) The power system in accordance with claim 3, wherein said remaining capacity detector resets the remaining capacity of said electrochemical device to a predetermined value corresponding to said threshold value when it determines the voltage of said electrochemical device to be equal to said threshold value.

13. (Original) The power system in accordance with claim 12, wherein said remaining capacity detector comprises: a step detector that detects, based on the voltage of said electrochemical device, that said electrochemical device has a remaining capacity close to a remaining capacity corresponding to said step; a current integrator that performs current integration near said step; and a corrector that corrects the remaining capacity of said electrochemical device based on a scale of the step that is determined from a current integral near said step.

14. (Original) The power system in accordance with claim 3,  
wherein said remaining capacity detector comprises: a step detector that detects, based on the voltage of said electrochemical device, that said electrochemical device has a remaining

capacity close to that corresponding to said step; and a current integrator that performs current integration near said step, and

wherein said remaining capacity detector resets the remaining capacity of said electrochemical device to a predetermined value corresponding to said threshold value when it determines the rate of voltage change relative to capacity change:  $\Delta C$  obtained by current integration:

$\Delta V/\Delta C$  to be equal to  $\Delta V/\Delta C$  at the threshold value that has been predetermined depending on charge/discharge current.

15. (Original) The power system in accordance with claim 14, wherein said remaining capacity detector has a corrector that corrects the remaining capacity of said electrochemical device based on a scale of the step that is determined from a current integral near said step.

16. (Original) A method for managing a power system that comprises an electrochemical device, a load device, and a power generator,

said electrochemical device comprising a positive electrode, a negative electrode, and a liquid electrolyte or a solid electrolyte,

said electrochemical device having a charge/discharge curve that has at least one step, a given step of said at least one step having an inflection point, a voltage corresponding to the inflection point or a point adjacent to the inflection point being set as a threshold value, and

said method comprising controlling charge/discharge of said electrochemical device such that the voltage of said electrochemical device approaches said threshold value.

17. (Original) The method for managing a power system in accordance with claim 16, wherein said controlling charge/discharge comprises the steps of:

measuring a voltage of said electrochemical device;

comparing the measured voltage with said threshold value; and

charging said electrochemical device when the measured voltage is lower than said threshold value and discharging said electrochemical device when the measured voltage is higher than said threshold value.



18. (Original) The method for managing a power system in accordance with claim 16, wherein said controlling charge/discharge comprises the steps of:

- measuring a voltage of said electrochemical device;
- comparing the measured voltage with said threshold value;
- calculating a remaining capacity of said electrochemical device based on said compared result; and
- charging said electrochemical device when the remaining capacity is less than the remaining capacity corresponding to said threshold value and discharging said electrochemical device when the remaining capacity is greater than the remaining capacity corresponding to said threshold value.

19. (Original) The method for managing a power system in accordance with claim 18, further comprising the step of resetting the remaining capacity of said electrochemical device to a predetermined value corresponding to said threshold value when the voltage of said electrochemical device is determined to be equal to said threshold value.

20. (Original) The method for managing a power system in accordance with claim 18, further comprising the step of performing current integration near said threshold value and resetting the remaining capacity of said electrochemical device to a predetermined value corresponding to said threshold value when the rate of voltage change relative to capacity change:  $\Delta C$  obtained by the current integration:  $\Delta V/\Delta C$  is determined to be equal to  $\Delta V/\Delta C$  at said threshold value that has been predetermined depending on charge/discharge current.